

PART B - FEE(S) TRANSMITTAL

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07/31/2006

WILMER CUTLER PICKERING HALE AND DORR LLP
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Sandra A. Kulaga	(Depositor's name)
<i>Sandra A. Kulaga</i>	(Signature)
<i>October 20, 2006</i>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/034,854	12/21/2001	David Shadmon	100157.159	9629

TITLE OF INVENTION: ANALYZING SOFTWARE BEHAVIOR

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0	\$1400	10/31/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
KISS, ERIC B	2192	717-127000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Krishnendu Gupta**

2 **Penelope S. Wilson**

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE and COUNTRY)

10/24/2006 CNEGA2 00000039 050009 10034854

01 FC:1501

1400.00 DA

02 FC:0001

3.00 DA

EMC Corporation

Hopkinton, MA 01748

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
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- ☐ A check is enclosed.
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- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 05-0889 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Penelope S. Wilson

Date

10/19/06

Typed or printed name

Penelope S. Wilson

Registration No.

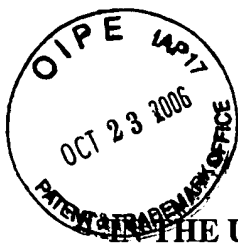
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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMITTAL FORM		Application No.:	10/034,854
		Filing Date:	December 21, 2001
		Confirmation No.:	9629
		First Named Inventor:	David Shadmon, et al
		Group Art Unit	2192
		Examiner:	Kiss, Eric B.
		Customer No.	24227
Total Number of Pages in this Submission:		Docket No.	EMC-01-041
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Authorization for the Commissioner to charge Deposit Account No. 05-0889 (in duplicate)	<input type="checkbox"/> Assignment <input type="checkbox"/> Recordation Cover Sheet	<input type="checkbox"/> Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)] (in duplicate)	
<input type="checkbox"/> Amendment	<input type="checkbox"/> Declaration/Power of Attorney <input type="checkbox"/> Associate Power of Attorney	<input checked="" type="checkbox"/> Form PTOL-85B, Part B -Issue Fee Payment Transmittal," (in duplicate)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Revocation of Power of Attorney	<input type="checkbox"/> Fee Transmittal (in duplicate)	
<input type="checkbox"/> Affidavits/Decl. Terminal Disclaimer	<input type="checkbox"/> Formal Drawings <input type="checkbox"/> Letter to Official Draftsperson with ___ sheets of Formal Drawings, Figs. 1 through 11, labeled.	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Certificate of First Class Mailing	
<input type="checkbox"/> Extension of Time Request for 3 Months	<input type="checkbox"/> Copy of PTO 948, "Notice of Draftsperson's Patent Review"	<input type="checkbox"/> Certificate of Express Mail Mailing	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		<input type="checkbox"/> Additional Enclosures:	
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Date <u>10/19/2006</u>		<u>Penelope S. Wilson</u> Penelope S. Wilson (Reg. No. 29,751) EMC Corporation Office of the General Counsel 176 South Street Hopkinton, MA 01748	
Tel: (508) 293-7998			
Fax: (508) 293-7189			
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<u>October 20, 2006</u> Date		<u>Sandra A. Kulaga</u> Signature	
		Sandra A. Kulaga Typed or printed name of person signing certificate	



**PATENT
EMC-01-041**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	David Shadmon, et al	GROUP ART UNIT:	2192
U.S.S.N.:	10/304,854	CONFIRMATION NO.:	9629
FILING DATE:	December 21, 2001	EXAMINER:	Kiss, Eric B.
		CUSTOMER NO.	24227
TITLE:	ANALYZING SOFTWARE BEHAVIOR		

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 20, 2006.

Sandra A. Kulaga

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF ISSUE FEE

Sir:

Enclosed herewith for filing is Form PTOL-85B, "Part B – Issue Fee Payment

Transmittal" (in duplicate), and authorization for the Commissioner to charge Deposit Account

No. 05-0889 for fees associated with this transaction.

Applicant: David Shadmon, *et al.*
U.S.S.N.: 10/034,854
Filing Date: December 21, 2004
EMC Docket No.: EMC-01-041

Respectfully submitted,

Dated: 10/19/2006



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